



Vermont Higher Education Investment Plan (VHEIP)

Rollover of Funds Form

Use this form to rollover funds into a Plan Account

Questions? Call toll-free 1-800-637-5860

P.O. Box 8101, Boston, MA 02266-8101

Visit www.vheip.com

Instructions

- Read the Plan *Disclosure Booklet* for complete rollover information before completing this form.
- Complete a separate form for each rollover account and submit a new *Account Application* along with this form, unless you already have a *Vermont Higher Education Investment Plan* (VHEIP) Account for this Beneficiary.
- Complete all sections in blue or black ink and print in capital letters. Be sure to sign and date this form.

1 Type of Rollover (Check only one box.)

Direct Rollover from another Qualified Tuition Program (QTP)

We will request a transfer of funds on your behalf directly from your current Qualified Tuition Program into your VHEIP Account. Please verify whether your current Qualified Tuition Program has any additional requirements before you submit this form to VHEIP.

Indirect Rollover from another Qualified Tuition Program (QTP) or a Coverdell Education Savings Account

Your check must be payable to the *Vermont Higher Education Investment Plan* and must be received by the VHEIP within 60 days of the date of withdrawal from the other account to qualify for rollover treatment.

2 VHEIP Plan Account Information (This is your new or existing VHEIP Account.)

You can rollover assets, either directly or indirectly, into a VHEIP Account for the same Beneficiary or into a VHEIP Account for another Beneficiary who is a "member of the family" of the original Beneficiary, as described in the *Disclosure Booklet*.

Note: Funds that were previously on deposit in a Uniform Gifts to Minors Act (UGMA) or Uniform Transfers to Minors Act (UTMA) can only be deposited into an UGMA/UTMA account for the same Beneficiary within VHEIP.

Who is the VHEIP Beneficiary?	<input checked="" type="checkbox"/> Same Beneficiary	<input type="checkbox"/> New Beneficiary , excluding UGMA/UTMA
Type of Account: (Check only one.)	<input checked="" type="checkbox"/> Individual	<input type="checkbox"/> Custodial (UGMA/UTMA) <input type="checkbox"/> Entity

1 9 7 4 - 0 1 2 3 4 5 6 7 8

Fund and Plan Account Number (Leave blank for a new VHEIP Account.)

7 8 9 - 1 2 - 3 4 5 6

Social Security or Taxpayer Identification Number

J O H N A S A M P L E

Account Owner Name (First, MI, Last, Suffix or Name of Entity)

A N N E M S A M P L E

Beneficiary Name (First, MI, Last, Suffix)

3 Contribution Instructions (Your funds may be deposited to any one or more of the following Investment Options.)

Please indicate whether your contribution will be deposited into a new Investment Option or into one you already own.

Investment Options (\$25 minimum per Option)	Select your Investment Options		
	Provide amount (\$ or %)		New option?
Managed Allocation Option	\$	50.00%	<input type="checkbox"/> Yes OR <input type="checkbox"/> No
Diversified Equity Option (1974) ¹	\$.00%	<input type="checkbox"/> Yes OR <input type="checkbox"/> No
Principal Plus Interest Option (1056) ²	\$	25.00%	<input type="checkbox"/> Yes OR <input type="checkbox"/> No
Equity Index Option (2003)	\$.00%	<input type="checkbox"/> Yes OR <input type="checkbox"/> No
Balanced Option (2002)	\$	25.00%	<input type="checkbox"/> Yes OR <input type="checkbox"/> No
Fixed Income Option (2004)	\$.00%	<input type="checkbox"/> Yes OR <input type="checkbox"/> No
TOTAL ROLLOVER CONTRIBUTION AMOUNT	\$	100.00%	

4 Instructions to your current Qualified Tuition Program (Complete this section only for a direct rollover.)

Use a separate *Rollover of Funds Form* for each Qualified Tuition Program (QTP) you maintain for this Beneficiary.

5 5 - 1 2 3 4 5 6 7 8

Account Number (This is the Account you have with your current QTP.)

7 8 9 - 1 2 - 3 4 5 6

Social Security or Taxpayer Identification Number

J O H N A S A M P L E

Account Owner Name (First, MI, Last, Suffix or Name of Entity)

A N N E M S A M P L E

Beneficiary Name (First, MI, Last, Suffix)

¹ Called the 100% Equity Option until November 30, 2009.

² Called the Interest Income Option until November 30, 2009.

